Privacy Policy

We recognize our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us. We will not disclose your personal information to anyone, unless it is required by law or at your direction. We will not sell your personal information. We want you to understand what information we collect, how we use it, and how we protect your personal information.

Why We Collect Your Information

We gather information about you so we can help design and implement the investment- and financial planning-related services we provide you and to comply with the federal and state laws and regulations that govern us.

What Information We Collect and Maintain

We could collect the following types of non-public personal information about you: information from our initial meeting or subsequent consultations about your identity, such as your name, address, Social Security or tax identification number, date of birth, and financial information; information we generate to service your financial needs; and information we could receive from third-parties, such as the custodian who holds your investment accounts.

What Information We Disclose

We are permitted by law to disclose non-public information about you to unaffiliated third-parties in certain circumstances. We could disclose your information to individuals or entities not affiliated with our firm, including, but not limited to, certain service providers (such as the custodian that holds your investment account, third-party investment advisers, or software or web-based application providers) as necessary to service your accounts, to your authorized representative or power of attorney, to implement our business succession plan, or otherwise permitted to do so in accordance with the parameters of applicable federal or state privacy regulations.

Because we share non-public information solely to service your accounts, you cannot opt out of allowing us to share your information. In the event we have a change to our business practices that allow non-public information to be shared with other third-parties, our Privacy Policy will be amended to allow you the opportunity to opt-out of such disclosure.

How We Protect Your Personal Information

Your privacy is of the utmost importance to us. We restrict and limit access to client information only to those who need to carry out their business functions. We safeguard client information by preventing its unauthorized access, disclosure, or use. We maintain physical, electronic, and procedural safeguards to protect your confidential personal information. Before sharing information with unaffiliated third-parties, as permitted and as described above, we perform due diligence to review their privacy, confidentiality, and cybersecurity policies, as applicable.

Former Clients

After you cease to be our client, we are required to maintain certain non-public information about you to comply with applicable federal and state regulations. Even if we cease to provide you with financial services, our Privacy Policy will continue to apply to you, and we will continue to treat your non-public information with strict confidentiality.

Contact Us

You are encouraged to contact us to discuss any questions regarding our privacy policies and procedures. See our contact information below.

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